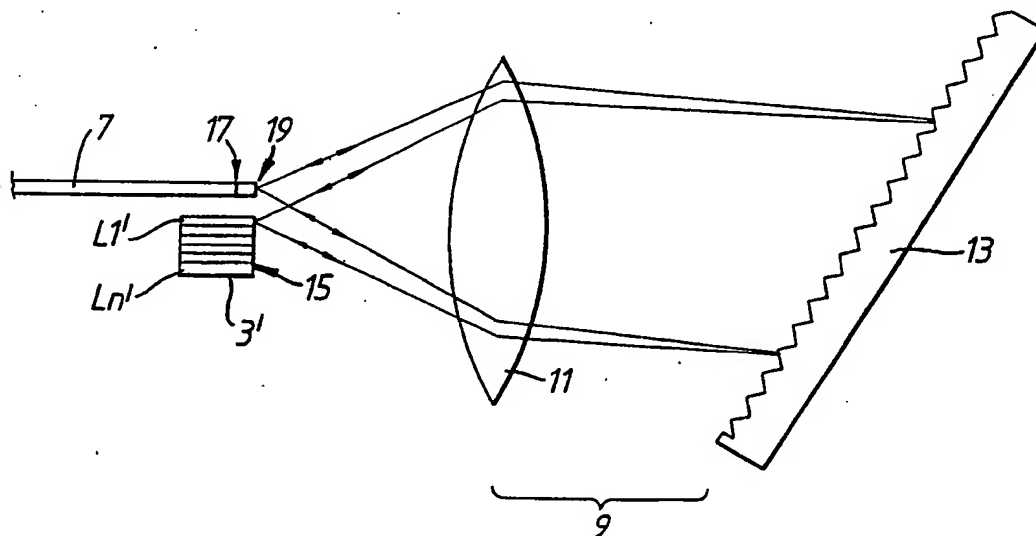




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(54) Title: APPARATUS FOR OPTICAL WAVELENGTH DIVISION MULTIPLEXING

**(57) Abstract**

Apparatus (Fig. 2) of the type comprising an optical assembly (9), e.g. a lens (11) and reflection grating (13), for collimating light emitted by a laser (L1'), and for refocussing the same onto an output waveguide (7). The waveguide (7) is modified to enhance reflection of the refocussed light, which in turn dominates the resonant response of the laser (L1'). Laser resonance thus depends on geometrical factors, the relative positions of laser (L1') and waveguide (7) and the dispersion properties of the assembly (9). Wavelength selectivity is improved by confining reflection to the core of the waveguide (7) e.g. by using an embedded reflector (17), or further still by using an etalon pair (17, 17'). The laser (L1') may be used in conjunction with other lasers (Ln') and/or detectors, or with retroreflectors (27). A multi-laser input multiplexer (Fig. 2) and single channel drop-and-add devices (Fig. 7; Fig. 10) are described.

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APPARATUS FOR OPTICAL WAVELENGTH DIVISION MULTIPLEXING

Technical Field

The present invention concerns improvements in or relating to apparatus for optical wavelength division multiplexing, in particular laser array multiplex devices, bidirectional multiplex/demultiplex devices, and drop-and-add components suitable for use in optical transmission systems.

Wavelength division multiplexing (WDM) is a well known technique for enhancing the transmission capability of an optical fibre. Instead of just a single source (laser or LED) being used to input the fibre, two or more are used, each operating at a different wavelength. Close channel spacing is desirable for optimum transmission capability. Long term stability, is also a critical factor, in particular, immunity to thermal drift is desired.

Background Art

Semiconductor laser diodes, for example, have been used as multiplexed sources. In these the wavelength of operation depends upon the composition of the active layer material. Diodes thus with different active layer compositions have been used to generate the different wavelengths. The finite spectral width typical of such diodes, and the manufacturing tolerance on active layer composition, however, usually would limit the attainable minimum channel

spacing to circa 30nm. Closer channel spacing can be attained by using single frequency type lasers - for example distributed feedback (DFB) lasers or cleave-coupled-cavity (C³) lasers. Here, however, elaborate control is required to maintain the emission wavelength of each laser correct and in tune with the multiplexer used to combine all of the outputs from the different lasers.

By way of illustration, a typical apparatus configuration is presented in Figure 1. This has the form of a ten-channel multiplexing device 1. In this arrangement, ten fixed-wavelength lasers L1 to L10, are combined in an input linear array 3 by means of coupling fibres 5. This array 3, which also includes a common output fibre 7 is located in the focal plane of a Littrow mount optical assembly 9 comprised of a collimating and focussing lens 11 and a dispersive member, a reflective grating 13. Light emissions from the individual lasers L1 to L10 are collimated, diffracted and refocussed onto the common output fibre 7. It will be appreciated that in this arrangement, the emission wavelengths and array geometry must be carefully matched to ensure that all emissions are diffracted to a common focus.

Such an arrangement, as described above, is detailed in the following article:- "68.3km transmission with 1.37 Tbit km/s capacity using wavelength division multiplexing of ten single frequency lasers at 1.5 μ m", by Olsson, N.A. et al., published in Electronics Letters Volume 2, Number 3 pages 105-106 (1985). There, the authors describe an arrangement in which the selected ten lasers were of the hetero-epitaxially ridge overgrown (HRO) distributed feedback (DFB) laser type. These were made with a second-order diffraction grating

and with both facets cleaved. The wavelength distribution of the lasers, which were selected from wafers of different design wavelengths, was between 1.529 and 1.561 μ m.

Wafer selection, as above, is an expensive and time-consuming procedure and clearly is not commercially practical for mass production. Long term stability also is likely to be a severe problem in this type of arrangement.

Disclosure of the Invention

The present invention provides an alternative approach and is intended to obviate the need for such critical selection.

In accordance with the invention thus there is provided an apparatus for optical wavelength division multiplexing, apparatus of the type comprising:-

- an optical assembly for collimating, dispersing and focussing light;

- one laser, at least, effectively located at or near a focus of this assembly; and,

- an optical waveguide, located at or near a common and conjugate focus of this assembly and arranged thus to receive light emitted from said one laser; wherein,

- the optical waveguide is adapted by the provision of reflection enhancement means so to reflect light emitted by said one laser and to control thereby the resonant emission thereof.

In the apparatus aforesaid the resonant wavelength of the one laser is uniquely determined according to the spatial dispersive

characteristics of the optical assembly and by the fixed position of the laser relative to this assembly. Since light is inevitably reflected from an aperture of finite size, the reflected light will be restricted to a finite range of wavelength so that at worst the emission may include multiple longitudinal mode content. Greater selectivity, however, can be achieved by modifying the reflection and transmission characteristics of the front facet of the laser, or alternatively by design of the reflection enhancement means - e.g. this could be of etalon form; in each case resonant coupled cavities are defined. Whichever the case, it is clearly an advantage to restrict the size of the reflection aperture. Where the waveguide has the form of a fibre, it is convenient to employ an embedded reflector and to confine reflection thus to the core of the fibre.

The one laser aforesaid may be located with its front end facet at or near the focus. Alternatively it may be displaced from this focus but coupled thereto by means of a fibre or other waveguide. The term "effectively located" shall be construed accordingly.

The one laser may be combined with one or more additional lasers and assembled, either directly or with connective waveguides, to provide an array interface. The different wavelengths of each laser then will depend upon relative position in the focal plane.

The one laser may likewise be combined with one or more detectors to provide a bidirectional transmission device.

The one laser may be combined with one detector and with one or more retro-reflectors to form a drop-and-add component.

Brief Introduction of the Drawings

In the accompanying drawings:-

Figure 1 is a schematic drawing showing a conventional arrangement of lasers and a multiplexing optical assembly.

Figure 2 is a schematic drawing showing apparatus in accord with this invention;

Figures 3 and 4 show, in enlarged plan view, two optical fibre waveguides, respectively, each modified for use in the apparatus depicted in the preceding figure;

Figures 5 and 6 show in enlarged plan view and in cross-section an optical fibre waveguide, modified in an alternative manner, for use in the apparatus of Figure 2 preceding;

Figures 7, 8 and 9 show in side elevation, cross-section and plan-view respectively, a single channel drop-and-add component, apparatus likewise in accord with this invention;

Figure 10 is a schematic plan view of an optical integrated circuit implementation of a drop-and-add component similar to that shown in the preceding figures 7 to 9; and,

Figure 11 is an enlarged plan view showing a retro-reflective waveguide element suitable for incorporation in the integrated circuit shown in the preceding Figure.

Description of Preferred Embodiments

In order that this invention might be better understood, embodiments thereof will now be described and reference will be made to Figures 2 to 11 of the accompanying drawings. The description that follows is given by way of example, only.

The apparatus, as shown in Figure 2, comprises a Littrow mount optical assembly 9 with a collimating and focussing lens 11 and inclined reflection grating 13, as in the conventional assembly previously described. A number of laser sources $L1'$ to Ln' are assembled in the form of a linear array 3' and are positioned in the focal plane of the collimating lens 11. These sources have the form of standard Fabry-Perot devices, all of nominally the same centre wavelength. Each is coated on its output facet 15 with a nominally anti-reflection film such that lasing wavelength (i.e. resonance) shall be determined by external feedback rather than from the laser cleaved mirror facets. Each laser $L1'$ to Ln' thus can be regarded more as a broad-band optical gain medium under these conditions.

An optical waveguide, a fibre 7, is located in the same focal plane at a common conjugate focus corresponding to each of the lasers $L1'$ to Ln' . This fibre 7, as shown, incorporates an embedded reflector 17, which is displaced a small distance from the front end 19 of the fibre 7. A wavelength selective external cavity, which then defines the resonance wavelength, is formed by the lens 11, the grating 13 and the reflector 17, as shown. The reflector 17 within the fibre is partial, enabling power to be coupled out of the assembly

9 into the fibre 7. The reflector 17 is shown embedded within the fibre 7 as it is desirable to confine enhanced reflection to a restricted aperture, this corresponding to the core region 21 of the fibre 7. This composite construction may be assembled from lengths of fibre 23, 25 which have been abutted together after deposition of a gold metal or similar reflecting film 17 on the polished or cleaved end surface of one of the fibre lengths 23 (See Figure 3). An alternative construction is shown in Figures 5 and 6. Here the end face 19 of the fibre 7 has been masked and a reflecting film 17" located on the exposed face of the core 21. This latter construction, however, would be more difficult to manufacture.

The individual lasers L1' to Ln' within the array will resonate (lase) at different wavelengths as light diffracted and reflected by the grating is focussed at different positions in the focal plane. The laser element spacing and the grating dispersion thus are chosen to give a predetermined and suitable channel spacing.

It is noted that the grating 13 of the assembly 9 acts both as a multiplexing component and as a wavelength selective element controlling the laser wavelengths.

It will be further realised that as the laser tuning is governed essentially by geometric factors - i.e. array spacing and grating geometry, the apparatus is relatively immune to effects of temperature drift and the like.

Wavelength selectivity can be further improved by tailoring the transmittance/reflectance of the front facet 15 of each laser. Thus in place of the anti-reflection film, a partially reflecting film may be substituted. This has the effect of defining coupled cavities,

the body cavity of the laser and the external cavity. Emission at one of the longitudinal resonant modes would in this case be enhanced.

It is noted that the components of the array 3' need not all be sources. Optical detectors (or possibly lasers operated as detectors) could be used on some channels - i.e. at different array locations, to give a bidirectional transmission capability over the fibre.

In the single-channel drop-and-add device shown in Figures 7 and 9, a Fabry-Perot type laser L1' is combined with longitudinal retroreflectorss 27, a detector 29, and two optical fibre waveguides namely, an output optical fibre 7 and an input optical fibre 31. The laser L1' and the detector, as also the input and output fibres 31 and 7 are located equidistant and symmetrically each side of the axis 33 of the retroreflectors 27. In this arrangement light of a given arbitrary wavelength emanating from the input fibre 31, is collimated and focussed to a point x on the retroreflector 27, reflected to another and corresponding point y on the retroreflector, and then collimated and refocussed onto the output fibre 7. At one particular wavelength, however, light emanating from the input fibre 31 is directed onto the detector 29. At this same wavelength, light is coupled between the laser L1' and the output fibre 7.

It will be noted that additional drop-and-add channels may be implemented by the inclusion of additional laser-detector pairs at other points in the focal plane.

As described, the laser L1' may resonante at more than one longitudinal mode of the external cavity. This may prove a problem for the longest haul systems envisaged, since dispersion in the fibre will inevitably lead to mode separation and interference. One

remedy is to modify the laser reflectance, as discussed above. An alternative solution, however, would be to adopt an etalon structure in the output fibre 7, in place of a single reflector 17, e.g. as shown in Figure 4 where two spaced etalon reflectors 17, 17' are embedded in the fibre 7.

An alternative to using a fibre output guide 7 is to use some form of integrated optical chip (lithium niobate, or III-V compound semiconductor - e.g. GaAs/GaAlAs) which could also perform additional functions. The laser array 3' could also be included on this chip if desired.

An integrated circuit implementation of the drop-and-add device is shown in Figure 10. Here the input and output waveguides 31', 7', the retroreflectors 27' and the laser L1' and detector 29' are defined in an optical chip 35. Each retro-reflector element comprises a pair of waveguides 37, 39 which are coupled either by means of end mirrors 41 (Figure 10) or by a grating 43 of a suitable length (Figure 11). It will be noted that the input and output waveguides are spaced a fixed distance "d" apart and that the retroreflector pairs 37, 39 and detector and laser guides 45, 47 are spaced by the same distance "d". This ensures that the laser L1' and output guide 7', as well as the detector 29' and input guide 31' are coupled for the same wavelength. Also, the input and output guides 31', 7' and corresponding guides 37, 39 of any one retroreflector element are likewise coupled for the same respective wavelength.

It will be appreciated that the invention is not restricted to the particular arrangements discussed above by way of example. In particular, the optical assembly may comprise alternative

components, e.g. several lenses, transmission gratings, prisms, mirrors, etc. and the arrangement is not restricted to the Littrow configuration. For some applications, transmissive rather than reflective optical assembly configurations could prove beneficial and are not precluded from the general scope of this invention.

CLAIMS:

1. An apparatus for optical wavelength division multiplexing, apparatus (figs. 2; 9; 10) of the type comprising:-
 - an optical assembly (9) for collimating, dispersing, and focusing light;
 - one laser (L1'), at least, effectively located at or near a focus of this assembly (9); and,
 - an optical waveguide (7; 7'), located at or near a common and conjugate focus of this assembly (9) and arranged thus to receive light emitted from said one laser (L1'), characterised in that:-
 - the optical waveguide (7; 7') is adapted by the provision of reflection enhancement means (17; 17'; 17'') so as to reflect light emitted by said one laser (L1') and to control thereby the resonant emission thereof.
2. Apparatus, as claimed in claim 1, wherein the laser (L1') is of Fabry-Perot type.
3. Apparatus, as claimed in claim 2, wherein the laser (L1') has a front facet (15) that is coated with an antireflection film.
4. Apparatus, as claimed in claim 2, wherein the laser (L1') has a front facet (15) that is coated with a partially reflecting film, the body cavity of the laser (L1') and the external cavity (formed of the

optical assembly (9) and reflection enhancement means (17; 17'; 17'')) being coupled as resonant cavities.

5. Apparatus (fig. 2), as claimed in any one of the preceding claims, wherein the laser (L1') is provided in combination with one or more additional lasers (Ln') of like type and of nominally the same centre wavelength, these lasers (L1' to Ln') being assembled to provide an array interface (3') and all being effectively located at or near corresponding focii of the optical assembly (9).

6. Apparatus (figs. 9; 10), as claimed in any one of the preceding claims, wherein the laser (L1') is provided in combination with one or more detectors (29; 29'), and in the form of a focal plane array.

7. Apparatus (figs. 9; 10), as claimed in any one of the preceding claims 1 to 6 wherein the or each laser (L1'; Ln') is provided in combination with one or more retroreflectors (27; 27') and a corresponding detector (29; 29'), all effectively located at or near a common focal plane of the optical assembly (9); the optical waveguide (7; 7') and another optical waveguide (31; 31') paired therewith being located at conjugate focii; to serve thus as a drop and add device.

8. Apparatus (figs. 9; 10), as claimed in claim 7, wherein the optical assembly is such that the common focal plane and said conjugate focii are coplanar.

9. Apparatus (fig. 10), as claimed in claim 8, wherein both optical waveguides (7'; 31') and each retroreflector (27') are embodied as part of an optical integrated circuit (35).

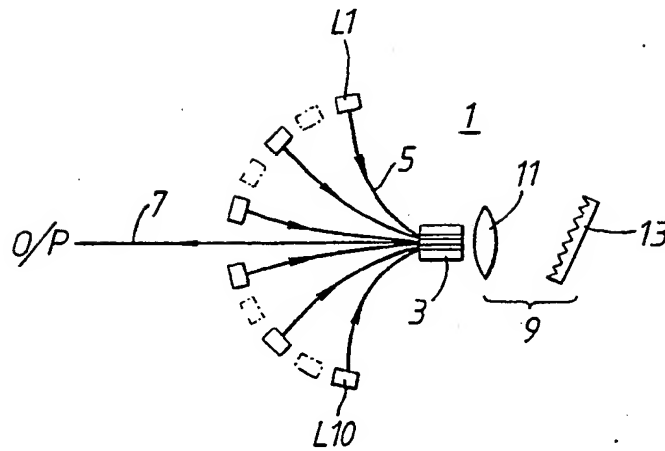
10. Apparatus (fig. 10), as claimed in claim 9, wherein the or each laser (L1') and each corresponding detector (29') likewise are embodied as part of the same said optical integrated circuit (35).

11. Apparatus (figs. 2; 9), as claimed in any one of the preceding claims 1 to 8, wherein the or each waveguide (7; 31) has the form of an optical fibre.

12. Apparatus, as claimed in claim 11, wherein the optical fibre (7) includes an embedded reflector (17), which latter serves as said reflector enhancement means.

13. Apparatus (fig. 4), as claimed in claim 1, wherein said one optical waveguide has the form of an optical fibre, which fibre includes a pair of embedded and spaced etalon reflectors (17; 17').

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PRIOR ART

FIG. 1.

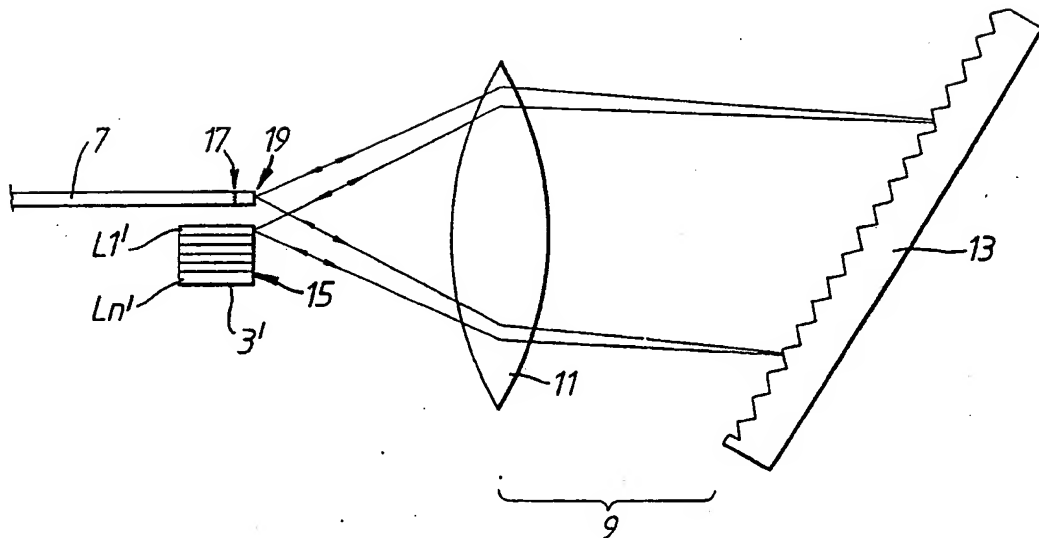


FIG. 2.

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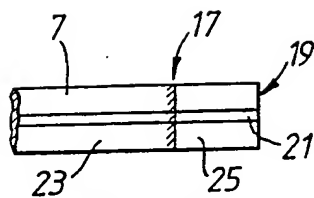


FIG. 3.



FIG. 4.

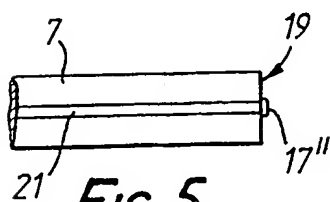


FIG. 5.

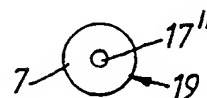


FIG. 6.

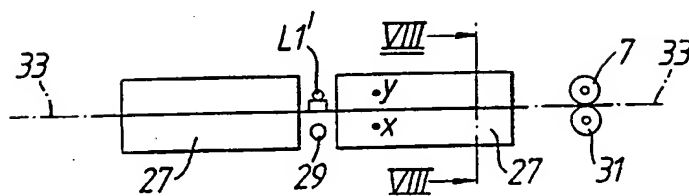


FIG. 7.

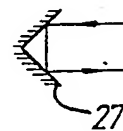


FIG. 8.

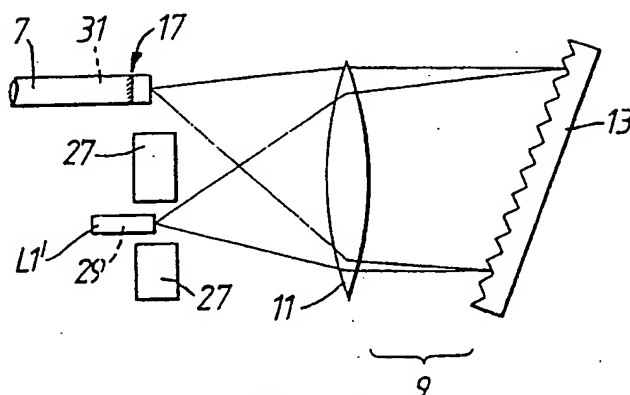


FIG. 9.

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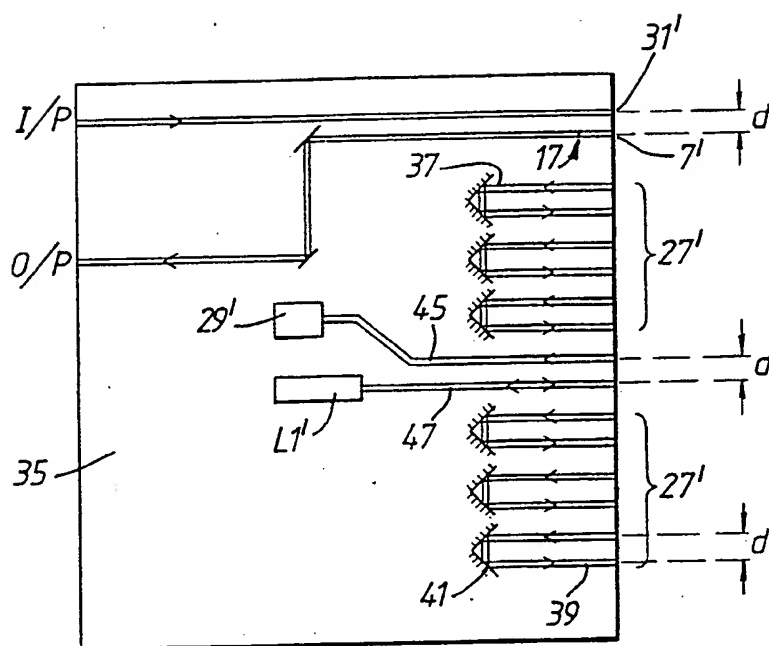


FIG. 10.

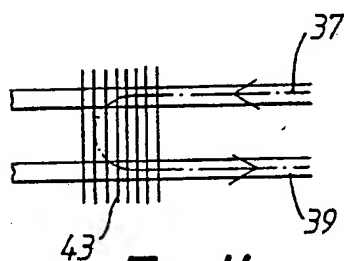


FIG. 11.

INTERNATIONAL SEARCH REPORT

International Application No PCT/GB 88/00191

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) * According to International Patent Classification (IPC) or to both National Classification and IPC IPC ⁴ : G 02 B 6/34; H 01 S 3/08																							
II. FIELDS SEARCHED <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Minimum Documentation Searched⁷</div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 25%; border-bottom: 1px solid black;">Classification System</th> <th style="border-bottom: 1px solid black;">Classification Symbols</th> </tr> <tr> <td style="vertical-align: top; padding: 5px;">IPC⁴</td> <td style="padding: 5px;">G 02 B 6/00; H 01 S 3/00; H 04 J 15/00; G 01 J 3/18</td> </tr> </table> <div style="text-align: center; border-top: 1px solid black; border-bottom: 1px solid black;">Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched⁸</div>			Classification System	Classification Symbols	IPC ⁴	G 02 B 6/00; H 01 S 3/00; H 04 J 15/00; G 01 J 3/18																	
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IPC ⁴	G 02 B 6/00; H 01 S 3/00; H 04 J 15/00; G 01 J 3/18																						
III. DOCUMENTS CONSIDERED TO BE RELEVANT⁹ <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%; border-bottom: 1px solid black;">Category *</th> <th style="border-bottom: 1px solid black;">Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²</th> <th style="width: 10%; border-bottom: 1px solid black;">Relevant to Claim No. ¹³</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">Y</td> <td style="padding: 5px;">FR, A, 2524404 (INSTRUMENTS) 21 October 1983, see figure 2; page 4, lines 25-38; page 5, lines 1-12</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">A</td> <td style="text-align: center; vertical-align: top; padding: 5px;">--</td> <td style="text-align: center; vertical-align: top; padding: 5px;">11,12</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">Y</td> <td style="padding: 5px;">CA, A, 1089932 (NORTHERN TELECOM) 18 November 1980, see figures 3,4,5; page 4, lines 22-27; claim 3</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">A</td> <td style="text-align: center; vertical-align: top; padding: 5px;">--</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1,5</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">A</td> <td style="padding: 5px;">WO, A, 82/01631 (PLESSEY) 13 May 1982, see figure 2; page 3, lines 6-25; page 4, lines 1-9; claim 3</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1,3,4</td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">A</td> <td style="padding: 5px;">IEEE Transactions of Quantum Electronics, vol. QE-18, no. 2, February 1982, IEEE (New York, US), H. Sato et al.: "Design of nondispersion optical feedback system using diffraction grating for semiconductor laser multiple longitudinal modes control", pages 155-157, see figure 1; page 155, column 2, lines 1-14; page 156, column 2, ./.</td> <td style="text-align: center; vertical-align: top; padding: 5px;">1,3,4</td> </tr> </tbody> </table>			Category *	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³	Y	FR, A, 2524404 (INSTRUMENTS) 21 October 1983, see figure 2; page 4, lines 25-38; page 5, lines 1-12	1	A	--	11,12	Y	CA, A, 1089932 (NORTHERN TELECOM) 18 November 1980, see figures 3,4,5; page 4, lines 22-27; claim 3	1	A	--	1,5	A	WO, A, 82/01631 (PLESSEY) 13 May 1982, see figure 2; page 3, lines 6-25; page 4, lines 1-9; claim 3	1,3,4	A	IEEE Transactions of Quantum Electronics, vol. QE-18, no. 2, February 1982, IEEE (New York, US), H. Sato et al.: "Design of nondispersion optical feedback system using diffraction grating for semiconductor laser multiple longitudinal modes control", pages 155-157, see figure 1; page 155, column 2, lines 1-14; page 156, column 2, ./.	1,3,4
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* Special categories of cited documents: ¹⁰ "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed		"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "A" document member of the same patent family																					
IV. CERTIFICATION <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border-bottom: 1px solid black; padding: 5px;"> Date of the Actual Completion of the International Search <div style="text-align: center;">15th June 1988</div> </td> <td style="width: 50%; border-bottom: 1px solid black; padding: 5px;"> Date of Mailing of this International Search Report <div style="text-align: center;">12 JUL 1988</div> </td> </tr> <tr> <td style="border-bottom: 1px solid black; padding: 5px;"> International Searching Authority <div style="text-align: center;">EUROPEAN PATENT OFFICE</div> </td> <td style="border-bottom: 1px solid black; padding: 5px;"> Signature of Authorized Officer <div style="text-align: center;"> P.C.G. VAN DER PUTTEN </div> </td> </tr> </table>			Date of the Actual Completion of the International Search <div style="text-align: center;">15th June 1988</div>	Date of Mailing of this International Search Report <div style="text-align: center;">12 JUL 1988</div>	International Searching Authority <div style="text-align: center;">EUROPEAN PATENT OFFICE</div>	Signature of Authorized Officer <div style="text-align: center;"> P.C.G. VAN DER PUTTEN </div>																	
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III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
	lines 5-7, 35-38 --	
A	US, A, 4464759 (H.A. HAUS et al.) 7 August 1984, see figures 6B, 7, 8A; column 4, lines 6-15; column 5, lines 7-21	1, 2
A	Technical Digest, no. 64, October 1981, (New York, US), R.C. Miller: "Optical coupling schemes for bidirectional 2-wavelength fiber optic systems", pages 41-42, see the whole document	1, 6
A	Electronics Letters, vol. 21, no. 10, 9 May 1985 (Stevenage, Herts, GB), I. Nishi et al.: "Broad-passband-width optical filter for multi/demultiplexer using a diffraction grating and a retroreflector prism", pages 423-424, see figure 1	1, 7
A	GB, A, 2178591 (PLESSEY) 11 February 1987, see figures 1, 2, 3; column 1, lines 124- 130; column 2, lines 1-15 -----	13

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

GB 8800191
SA 21151

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.
The members are as contained in the European Patent Office EDP file on 29/06/88.
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Patent document cited in search report	Publication date	Patent family member(s)	Publication date
FR-A- 2525404	21-10-83	None	
CA-A- 1089932	18-11-80	None	
WO-A- 8201631	13-05-82	GB-A,B 2086168 EP-A,B 0063126	06-05-82 27-10-82
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GB-A- 2178591	11-02-87	None	

